



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

35526

7590

08/24/2007

DUKE W. YEE YEE & ASSOCIATES, P.C. P.O. BOX 802333 DALLAS, TX 75380

| EXA      | EXAMINER     |  |  |  |  |  |
|----------|--------------|--|--|--|--|--|
| AZAD     | AZAD, ABUL K |  |  |  |  |  |
| ART UNIT | PAPER NUMBER |  |  |  |  |  |
| 2626     |              |  |  |  |  |  |

DATE MAILED: 08/24/2007

| 1 | APPLICATION NO. FILING DATE |            | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------------------|------------|-----------------------|---------------------|------------------|
|   | 09/852.110                  | 05/09/2001 | David Frederick Bantz | YOR920010328US1     | 5012             |

TITLE OF INVENTION: APPARATUS, SYSTEM AND METHOD FOR PROVIDING SPEECH RECOGNITION ASSIST IN CALL HANDOVER

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1400        | \$300               | \$0                  | \$1700           | 11/26/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax
(571)-273-2885

| appropriate All further  | correspondence including<br>d below or directed oth   | a the Patent ad   | vance o  | rders and notification of n  a) specifying a new corres  | naintenance fees w<br>pondence address;   | and/o   | mailed to the current (b) indicating a sepa   | correspondence address as rate "FEE ADDRESS" fo  |
|--|---|---|--|--|---|---|---|--|
| CURRENT CORRESPONDE  | ENCE ADDRESS (Note: Use Bl  | ock I for any change o  | f address)   | Ecel   | c) Transmittal Thi  | c certif                                      | icate cannot be used for  | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, mus   |
| DUKE W. YEF<br>YEE & ASSOCI<br>P.O. BOX 80233  | ATES, P.C.  | /2007   |  |  | Cert  | tificate                                      | of Mailing or Transi  |  |
| DALLAS, TX 7:  | 5380  |   |  |  |   |   |   | (Depositor's name)   |
|  |   |   |  |  |   |   |   | (Signature)  |
|  |   |   |  |  |   |   |   | (Date)   |
| APPLICATION NO.  | FILING DATE   |   |  | FIRST NAMED INVENTOR   |   | ATTO  | RNEY DOCKET NO.   | CONFIRMATION NO.   |
| 09/852,110   | 05/09/2001  |   |  | David Frederick Bantz  |   |   | R920010328US1   | 5012   |
| TITLE OF INVENTION   |   |   |  | PROVIDING SPEECH R   |   |   |   |  |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FEE D   | UE   | PUBLICATION FEE DUE  | PREV. PAID ISSUE  | E FEE   | TOTAL FEE(S) DUE  | DATE DUE   |
| nonprovisional   | NO  | \$1400  | \$1400 \$300   |  | \$0   |   | \$1700  | 11/26/2007   |
| EXAM   | INER  | ART UNI   | Γ  | CLASS-SUBCLASS   |   |   |   |  |
| AZAD, A  | ABUL K  | 2626  |  | 704-251000   |   |   |   |  |
| "Fee Address" indi<br>PTO/SB/47; Rev 03-0<br>Number is required.  3. ASSIGNEE NAME A   | ondence address (or Cha<br>3/122) attached.<br>ication (or "Fee Address'<br>2 or more recent) attach<br>ND RESIDENCE DATA                             | ' Indication formed. Use of a Cus   | tomer  | 2. For printing on the p (1) the names of up to or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attorned in the patent in the | 3 registered patentely, et firm (having as a gent) and the namineys or agents. If apprinted.            | memb<br>es of u<br>no nam                     | er a 2<br>p to<br>le is 3   | ocument has been filed fo  |
| (A) NAME OF ASSIC  | GNEE  |   |  | (B) RESIDENCE: (CITY   | and STATE OR C  |   |   | oup entity Government  |
|  | are submitted:  o small entity discount p   |   | 4  | b. Payment of Fee(s): (Plea A check is enclosed.  Payment by credit car  The Director is hereby overpayment, to Depo   | d. Form PTO-2038  | is atta                                       | ched.   | shown above)<br>ficiency, or credit any<br>n extra copy of this form).   |
|  | s SMALL ENTITY statu  | is. See 37 CFR 1  |  | ☐ b. Applicant is no long  | ,   |   |   |  |
| NOTE: The Issue Fee and interest as shown by the r   | d Publication Fee (if requeered of the United Sta   | urred) will not be<br>tes Patent and Tr   | accepte<br>ademarl                                     | d from anyone other than to<br>Office.   | ne applicant; a regi  | stered  | altorney or agent; or th  | e assignee or other party if   |
| Authorized Signature   |   | ,   |  |  | Date  |   |   |  |
|  | e   |   |  |  | _   |   |   |  |
| This collection of inform<br>an application. Confident<br>submitting the completed<br>this form and/or suggesti<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | ation is required by 37 C<br>tiality is governed by 35<br>1 application form to the<br>ons for reducing this bu<br>irginia 22313-1450. DC<br>13-1450. | FR 1.311. The in<br>U.S.C. 122 and<br>USPTO. Time<br>rden, should be s<br>NOT SEND FE | nformati<br>37 CFR<br>will vary<br>ent to the<br>ES OR | on is required to obtain or r<br>1.14. This collection is est<br>depending upon the indiv<br>e Chief Information Office<br>COMPLETED FORMS TO  | etain a benefit by t<br>imated to take 12 r<br>idual case. Any co<br>r, U.S. Patent and<br>THIS ADDRESS | he pub<br>ninutes<br>mmen<br>Trader<br>S. SEN | lic which is to file (and sto complete, including its on the amount of tirnark Office, U.S. Depart To: Commissioner | I by the USPTO to process<br>g gathering, preparing, and<br>you require to complete<br>artment of Commerce, P.O.<br>for Patents, P.O. Box 1450 |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.             | FII  | LING DATE    | FIRST NAMED INVENTOR            | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |  |
|-----------------------------|------|--------------|---------------------------------|---------------------|------------------|--|--|--|
| 09/852,110                  | C    | 05/09/2001   | David Frederick Bantz           | YOR920010328US1     | 5012             |  |  |  |
| 35526                       | 7590 | 08/24/2007   |                                 | EXAMINER            |                  |  |  |  |
| DUKE W. YE                  |      | 00/2 // 2007 | AZAD, A                         | AZAD, ABUL K        |                  |  |  |  |
| YEE & ASSOC                 |      | C.           | ART UNIT PAPER NUM              |                     |                  |  |  |  |
| P.O. BOX 8023<br>DALLAS, TX |      |              | 2626<br>DATE MAILED: 08/24/2007 |                     |                  |  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1112 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1112 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.